

ANNUAL REPORT 2020/21 - JSE DEBT LISTINGS REQUIREMENTS COMPLIANCE SUPPLEMENT

1. BOARD OF DIRECTORS

a. CONFLICT OF INTEREST

During the 2021 financial year, no conflicts of interest were noted in terms of the Board of directors.

b. DIRECTORS CAPACITY

The Chairperson of the Board and all other Board members, with the exception of the Chief Executive, are independent non-executive directors in the manner described in the King IV Report on Corporate Governance (hereinafter referred to as “King IV”), to ensure its independence and the clear definition of roles and responsibilities. The independent directors are determined holistically, in accordance with the indicators provided in Section 94(4)(a) and (b) of the Companies Act and the King Code

c. EVALUATION OF THE PERFORMANCE OF THE BOARD OF DIRECTORS AND ITS COMMITTEES, ITS CHAIR, AND ITS INDIVIDUAL DIRECTORS

In accordance with the Board Charter, the Board shall:

- Review its performance at collective and individual levels on an annual basis.
- Use an accepted self-evaluation tool, designed by an accredited institution to review its performance.
- The results of the evaluation should be discussed during a full Board meeting and a clear action plan to improve performance should, if so required, be developed.

2. PROMINENT INFLUENTIAL PERSONS (PIPs) THAT HOLD PUBLIC FUNCTIONS AND THAT HAVE RELATIONS WITH AND/OR HAVE CONTROL OVER UMGENI WATER

EXTRACT FROM SCHEDULE 3A OF FIC AMENDMENT ACT	UMGENI WATER'S PERSONNEL HOLDING EQUIVALENT OFFICES AS THOSE IN SCHEDULE 3A OF FICAA
<p>A domestic prominent influential person is an individual who holds, including in an acting position for a period exceeding six months or has held at any time in the preceding 12 months, in the Republic;</p> <p>(a) a prominent public function including that of:</p>	<p>The following is a list of Umgeni Water's prominent influential persons by virtue of them holding the specified public function in the following offices:</p>
<p>(i) the President or Deputy President;</p>	<p>(i) The President or Deputy President</p>
<p>(ii) a government minister or deputy</p>	<p>(ii) The Minister of Human Settlement, Water and Sanitation;</p>
<p>(iii) the Premier of a province;</p>	<p>(iii) The Premier of KZN</p>
<p>(iv) an executive mayor of a municipality elected in terms of the Local Government: Municipal Structures Act, 1998 (Act No. 117 of 1998);</p>	<p>(iv) An Executive Mayor within the municipalities that are customers of Umgeni Water</p>
<p>(v) the municipal manager of a municipality appointed in terms of section 54A of the Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000), or a chief financial officer designated in terms of section 80(2) of the Municipal Finance Management Act, 2003 (Act No. 56 of 2003</p>	<p>(v) The Municipal Manager of a municipality that is a customer of Umgeni Water;</p>
<p>(vi) the chairperson of the controlling body, the chief executive officer, or a</p>	<p>(vi) The Board Chairperson of Umgeni Water; The Chief Executive of</p>

EXTRACT FROM SCHEDULE 3A OF FIC AMENDMENT ACT	UMGENI WATER'S PERSONNEL HOLDING EQUIVALENT OFFICES AS THOSE IN SCHEDULE 3A OF FICAA
natural person who is the accounting authority, the chief financial officer or the chief investment officer of a public entity listed in Schedule 2 or 3 to the Public Finance Management Act, 1999 (Act No. 1 of 1999);	Umgeni Water; The Chief Financial Officer of Umgeni Water;

3. REGISTER OF PROCUREMENT OF SERVICES AND/OR PRODUCTS REPRESENTING 10% OR MORE OF THE ANNUAL PROCUREMENT SPEND

As per the Value added statement (Pg 197 of the 2021 AR), the total procurement spend was R2,153,853,000. In terms of the services and products that Umgeni Water procured and paid for, which individually totalled 10% of the annual procurement spend, these are as follows:

Supplier	Service/Product	Contract period	Total spend for 2020/21
Department of Water and Sanitation	Raw Water	Since 1974 (DWS are the custodians of water resources)	1,394,012,020.93
Raminet Technologies	ICT support	Since 2017	231,854,401.13

4. LOANS AND PROCUREMENT WITH RELATED PARTIES, DOMESTIC PROMINENT INFLUENTIAL PERSONS (DPIPs) AND/OR PRESCRIBED OFFICERS

For the year ended 30 June 2021, there were no loans or procurement with related parties, DPIP's and/or prescribed officers.